Application For Construction Prequalification

Per Advertisement for Bids, Page AB-2

APPLICATION FOR CONSTRUCTION PREQUALIFICATION CONTRACT 16-03 – 54-INCH INTERCEPTOR REHABILITATION

I. GENERAL BACKGROUND

N	ne of Applicant:			
А	Address of Applicant:			
1.	Mailing			
2.	Business:			
3.	Telephone Number:			
4.	Fax Number:			
5.	Contact Person:			
St	te of Tennessee Contractor's License Number and the classification that			
ap	lies to this project:			
C	ntractor is: Proprietor			
	Corporation			
	Partnership			
0	er state licenses held:			
L	al licenses held:			
1.	Names and addresses of officers/partners of the company:			
2.	Number of years company has been in business:			
Н	w many persons are permanently employed by your company?			

- I. How many years experience in the proposed type and size of construction work has your organization had?
- J. Previous name of Contractor, if any:

Contractor Name	
Business Address:	
Contact Person:	
Telephone:	

K. Describe and identify on the attached forms the applicant's financial status, proposed project personnel, safety record, equipment inventory, experience on similar projects, legal proceedings, and list of applicant references. This information will be used to determine the applicant's ability to perform the work required by this project.

This application must be completed in its entirety, including the Affidavit for Prequalification of Applicant.

Attach additional pages when necessary to fully answer any of the above questions.

II. FINANCIAL STATUS

CONTRACTOR'S QUALIFICATION WILL BE DETERMINED BASED UPON THE INFORMATION PRESENTED IN THIS SECTION. ADDITIONAL INFORMATION PROVIDED AS "ATTACHMENTS" SHOULD BE FOR FURTHER EXPLANATION OF PROJECTS LISTED WITHIN THIS SECTION OR AS SUPPLEMENTAL INFORMATION.

A. Complete the following information for the past three years. (See Contractor's Financial Statement Verification at end of this application).

1.	 Last Complete Fiscal Year: Date from: a. Revenues (Gross) b. Expenditures (Gross) c. Overhead and Admin. Cost (Gross) d. Profit (Gross) 	
2.	 Fiscal Year Prior to 1. Above: Date from: a. Revenues (Gross) b. Expenditures (Gross) c. Overhead and Admin. Cost (Gross) d. Profit (Gross) 	
3.	 Fiscal Year Prior to 2. Above: Date from: a. Revenues (Gross) b. Expenditures (Gross) c. Overhead and Admin. Cost (Gross) d. Profit (Gross) 	

B. BANKRUPTCIES

1. Has the Contractor, or any of its parents or subsidiaries, ever had a Bankruptcy Petition filed in its current and/or previous name, voluntarily or involuntarily?

Yes _____ No _____

If Yes, specify date, circumstances, and resolution in an attachment.

2. Has any Majority Shareholder ever had a Bankruptcy Petition filed in his/her name, voluntarily or involuntarily?

Yes _____ No

If Yes, specify date, circumstances, and resolution in an attachment.

C. LOANS

Is the Contractor currently in default on any loan agreement or financing agreement with any bank, financial institution or other entity?

Yes _____ No

If Yes, specify date, circumstances, and resolution in an attachment.

D. BONDING

- 1. What is the Contractor's current total bonding capacity with a contract surety company?
 - \$ Minimum
- 2. What is the Contractor's current single contract bonding capacity with a contract surety company?

\$ Single

- 3. What is the Contractor's current remaining bonding capacity with a contract surety company?
 - \$ Remaining
- 4. Please identify the Contractor's surety company actually providing bid and payment/performance bonds and the current line of bonding credit that company has extended to the Contractor.

Bond Surety Company (Bid)	
Name:	
Mailing Address:	
City:	
State and Zip:	
Contact Person:	
Telephone and Fax:	
Bonding Credit:	
Bond Surety Company (Payment and Performance)	
Name:	
Mailing Address:	
City:	
State and Zip:	
Contact Person:	

Telephone and Fax:	
Bonding Credit:	
Surety Company Age	nt or Underwriting Contact
Name:	
Mailing Address:	
City:	
State and Zip:	
Contact Person:	

5. Have Performance or Payment Bond claims ever been made to a surety for this Contractor on any project, past or present?



If Yes, describe the claim, date, circumstances, and resolution in an attachment.

6. In the past five (5) years, has a surety company refused to bond the Contractor, parent or subsidiaries, on any project?

Yes _____ No _____

If Yes, describe the date, amount, and resolution in an attachment.

7. Have there ever been any liens filed against the Contractor that resulted in a loss of any or all of contract retainage on any project past or present?

Yes _____ No _____

If Yes, describe the claim, date, amount, and resolution in an attachment.

8. Has the Contractor or any of its parents or subsidiaries ever been refused liability insurance in its current and/or previous name?

Yes _____ No If Yes, describe the refusal, date, and reasons in an attachment.

III. PROPOSED PROJECT PERSONNEL

CONTRACTOR'S QUALIFICATION WILL BE DETERMINED BASED UPON THE INFORMATION PRESENTED IN THIS SECTION. ADDITIONAL INFORMATION PROVIDED AS "ATTACHMENTS" SHOULD BE FOR FURTHER EXPLANATION OF PROJECTS LISTED WITHIN THIS SECTION OR AS SUPPLEMENTAL INFORMATION.

A. GENERAL INFORMATION

1. Affirm that the Contractor will comply with all government regulations regarding non-discrimination of employment and employment practices on the basis of sex, race, color, national origin, ancestry, age, religious conviction, veteran status, handicap status, political beliefs or non-job related criteria.

Yes _____ No _____

If No, specify regulations not complying with and provide a complete explanation in an attachment.

- 2. What percentage of the work pertaining to this contract will the Contractor perform with its own employees (at least 51% must be performed by the Contractor):
 - %
- 3. What type of work does the Contractor anticipate subcontracting:

Type of subcontracting:

B. PROPOSED PROJECT MANAGER

CONTRACTOR'S QUALIFICATION WILL BE DETERMINED BASED UPON THE INFORMATION PRESENTED ON THIS PAGE. ADDITIONAL INFORMATION PROVIDED AS "ATTACHMENTS" SHOULD BE FOR FURTHER EXPLANATION OF PROJECTS LISTED BELOW OR AS SUPPLEMENTAL INFORMATION.

1. List the Contractor's proposed *Project Manager* for this project. Include years with the Contractor along with total years experience in transmission main construction. Include the companies he/she has been affiliated with in the last five (5) years and the contact person they directly reported to.

Name:		
Years at this Company:		
Title:		
Transmission Main Experience:	From Mo/Yr:	To Mo/Yr
Previous Company:		
Years at Previous Company:	From Mo/Yr:	To Mo/Yr
Address:		
City:		
State and Zip:		
Contact Person:		
Telephone and Fax:		

2. List at least one (1) gravity sewer project, greater than 48-inches in diameter, that the proposed *Project Manager* has supervised in the last fifteen (15) years for the Contractor, or for any other company:

#1	Project:	
	Location:	
	Main Size:	
	Construction Cost:	
	Dates:	
	Company:	
#2	Project:	
	Location:	
	Main Size:	
	Construction Cost:	
	Dates:	
	Company:	

C. PROPOSED SUPERINTENDENT

CONTRACTOR'S QUALIFICATION WILL BE DETERMINED BASED UPON THE INFORMATION PRESENTED ON THIS PAGE. ADDITIONAL INFORMATION PROVIDED AS "ATTACHMENTS" SHOULD BE FOR FURTHER EXPLANATION OF PROJECTS LISTED BELOW OR AS SUPPLEMENTAL INFORMATION.

1. List the Contractor's proposed *Superintendent* (if different from the *Project Manager*) for this project. Include years with the Contractor along with total years experience in transmission main construction. Include the companies he/she has been affiliated with in the last five (5) years and the contact person they directly reported to.

Name:	
Years at this Company: From Mo/Yr.	To Mo/Yr
Title:	
Transmission Main Experience: From Mo/Yr.	To Mo/Yr
Previous Company:	
Years at Previous Company:	
Address:	
City:	
State and Zip:	
Contact Person:	
Telephone and Fax:	

2. List at least one (1) gravity sewer project, greater than 48 inches in diameter, that the proposed *Superintendent* has supervised in the last fifteen (15) years for the Contractor, or for any other company.

#1	Project:	
	Location:	
	Main Size:	
	Construction Cost:	
	Dates:	
	Company:	
#2	Project:	
	Location:	
	Main Size:	
	Construction Cost:	
	Dates:	
	Company:	

3. List the Contractor's personnel assigned to this project that have achieved a State of Tennessee Level 1 Erosion Prevention and Sediment Control Certification. If certified in another state or another program acceptable to local authority, list authority of certification.

IV. SAFETY

CONTRACTOR'S QUALIFICATION WILL BE DETERMINED BASED UPON THE INFORMATION PRESENTED ON THIS PAGE. ADDITIONAL INFORMATION PROVIDED AS "ATTACHMENTS" SHOULD BE FOR FURTHER EXPLANATION OF PROJECTS LISTED BELOW OR AS SUPPLEMENTAL INFORMATION.

A. Has the Contractor had any OSHA citations levied during the past five (5) years?

Yes	
No _	
· · 1 / 1	· · · ·

If Yes, describe the infractions in an attachment.

B. Does the Contractor have a written policy on drug and alcohol abuse that complies with T.C.A. 50-9-101 et. seq.? If so, please attach a copy.



C. Does the Contractor have a written safety program? If so, please attach a copy.



D. Does the Contractor hold on-the-job safety inspections?

Yes	.
No	

If Yes, how often and who conducts the inspections?

E. Complete the following from the Contractor's OSHA 200 Log for the past three (3) years and provide copies of each of the Contractor's OSHA 200 Logs:

20_	20) 20	
Number of Lost Work Days Cases			
Number of Restricted Workday Cases	//////////////////////////////////////		
Number of Cases with Medical Attention Only			
Number of Fatalities			······

V. EQUIPMENT INVENTORY

CONTRACTOR'S QUALIFICATION WILL BE DETERMINED BASED UPON THE INFORMATION PRESENTED ON THIS PAGE. ADDITIONAL INFORMATION PROVIDED AS "ATTACHMENTS" SHOULD BE FOR FURTHER EXPLANATION OF PROJECTS LISTED BELOW OR AS SUPPLEMENTAL INFORMATION.

A. Complete the following list of major equipment available for construction of this project (i.e., excavators, backhoes, loaders, etc.).

Type of Equipment	Model	Number of Units

B. Complete the following list of specialized equipment/services available for construction of this project (i.e., compaction equipment, chlorinator, trench box, survey equipment, hydroseeder/mulcher, etc.).

Type of Equipment	Manufacturer/Model	Subcontract/Own/Lease
Complete the following	z list for each subcontractor no	rmally used for the specialty

C. Complete the following list for each subcontractor normally used for the specialty tunneling work of drilling and blasting bypass pumping, pavement restoration and blasting bypass pumping (furnish copy of blasting certificates).

Subcontractor Type of Work

VI. COMPANY EXPERIENCE - SIMILAR PROJECTS

CONTRACTOR'S QUALIFICATION WILL BE DETERMINED BASED UPON THE INFORMATION PRESENTED IN THIS SECTION. ADDITIONAL INFORMATION PROVIDED AS "ATTACHMENTS" SHOULD BE FOR FURTHER EXPLANATION OF PROJECTS LISTED WITHIN THIS SECTION OR AS SUPPLEMENTAL INFORMATION.

A. Failure to complete this section in full, regardless of any supplemental information provided, will be grounds for disqualification.

- B. Beginning on the following pages, list at least three (3) (42" minimum) large diameter gravity sewer projects completed by the Contractor that meet the criteria detailed at the end of this application.
- C. List projects that most closely resemble the work proposed under this bid.
- D. Describe projects in terms of degree of difficulty, problems encountered, similarities, with the work proposed under this bid, what the driver was on the project schedule, whether the schedule was met, etc., or any pertinent information that might be used to evaluate your request for prequalification.
- E. For questions with a "Yes" or "No" answer, please check one.
- F. For questions that ask for "Length", please give the linear footage of each material installed.

G. For questions that ask for "Material", use typical abbreviations such as:

Ductile iron pipe:	DIP
Reinforced concrete pipe	RCP
Fiberglass reinforced pipe	FRP
questions that ask for manhole type, us	e abbreviations

- H. For questions that ask for manhole type, use abbreviations such as: Fiberglass FG Concrete C Tee Base T
- I. For questions that ask for "Diameter", please indicate the inside finished diameter, in either inches or feet.

#1

CONTRACTOR'S QUALIFICATION WILL BE DETERMINED BASED UPON THE INFORMATION PRESENTED IN THIS SECTION. ADDITIONAL INFORMATION PROVIDED AS "ATTACHMENTS" SHOULD BE FOR FURTHER EXPLANATION OF PROJECTS LISTED WITHIN THIS SECTION OR AS SUPPLEMENTAL INFORMATION.

Project Name:			
Location:	····		
Project Owner:			
Owner's Address:			
Owner's Contact Pe	rson:		
Owner's Contact Pe	rson Telephon	e:	
Project Engineer:			
Engineer's Address			
Engineer's Contact	Person:		
Engineer's Contact	Person Telephe	one:	
Contract Bid Amou	nt:		
Final Contract Amo	unt:		
Contract Completion			
		al Days:	
Contract Dates:	Noti	ce to Proce	ed Date:
	Fina	l Completio	on Date:
Gravity Sanitary Sev	wer System:	Yes:	No:
Length:	Material:		Diameter:
Length:	Material:		Diameter:
Manhole Type:	Material:		Diameter:
Combined Sanitary	Sewer Overflo	w System:	Yes No
			Diameter:
Length:	Material:		Diameter:
Manhole Type:	Material:		Diameter:
Did this Contract contract contract contract contract contracts and the paving limits of a ro		nstallation	of the pipeline(s) within
			No:

Yes: _____ No: _____

If Yes, describe your bypass pumping operation (size, length of pipe, etc.) Sound attenuation may be required in this project. Please describe methods used previously in this regard and how they may be adapted to this project. Contractor may include information in attachment.

Bypass Pumping:		 	

		 	10 10 <u>10</u>
Comments:			

#2

CONTRACTOR'S QUALIFICATION WILL BE DETERMINED BASED UPON THE INFORMATION PRESENTED IN THIS SECTION. ADDITIONAL INFORMATION PROVIDED AS "ATTACHMENTS" SHOULD BE FOR FURTHER EXPLANATION OF PROJECTS LISTED WITHIN THIS SECTION OR AS SUPPLEMENTAL INFORMATION.

Project Name:		
Location:		
Project Owner:		
Owner's Address:		
Owner's Contact P	erson:	
Owner's Contact Pe	erson Telephon	e:
Project Engineer:		
Engineer's Address		
Engineer's Contact	Person:	
Engineer's Contact	Person Telepho	one:
Contract Bid Amou	int:	
Final Contract Amo	ount:	
		blished Days:
1		al Days:
Contract Dates:		ce to Proceed Date:
	Final	l Completion Date:
Gravity Sanitary Se	wer System:	Yes: No:
		Diameter:
Length:	Material:	Diameter:
Manhole Type:	Material:	Diameter:
Combined Senitory	Sarran Orranfla	w Gustam, Vac Na
		w System: Yes No
Longui.	Waterial: _	Diameter: Diameter:
Manholo Type:	Material	Diameter:
wannote Type		
Did this Contract of	consist of the in	nstallation of the pipeline(s) within
paving limits of a re		
		No:

Was continuous bypass pumping of sanitary required by this project?

Yes: _____ No: _____

If Yes, describe your bypass pumping operation (size, length of pipe, etc.) Sound attenuation may be required in this project. Please describe methods used previously in this regard and how they may be adapted to this project. Contractor may include information in attachment.

Bypass Pumping:	 		
Comments:	 	 	

#3

CONTRACTOR'S QUALIFICATION WILL BE DETERMINED BASED UPON THE INFORMATION PRESENTED IN THIS SECTION. ADDITIONAL INFORMATION PROVIDED AS "ATTACHMENTS" SHOULD BE FOR FURTHER EXPLANATION OF PROJECTS LISTED WITHIN THIS SECTION OR AS SUPPLEMENTAL INFORMATION.

Project Name:				
Location:				
Project Owner:				
Owner's Address:				
Owner's Contact P				
Owner's Contact P	erson Telephon	.e:		
Project Engineer:				
Engineer's Address	s:			
Engineer's Contact	Person:			
Engineer's Contact	Person Teleph	one:		
Contract Bid Amou	int:			
Final Contract Ame	ount:			
Contract Completio	on Time: Esta	blished Day	ys:	
		al Days:		
Contract Dates:	Noti	ce to Proce	ed Date:	
	Fina	l Completio	on Date:	
Gravity Sanitary Se	wer System:	Yes:	No:	
Length:	Material:		Diameter:	
			Diameter:	
Manhole Type:	Material:		Diameter:	
Combined Sanitary	Sewer Overflo	w System:	Yes No	
			Diameter:	
			Diameter:	
			Diameter:	
Did this Contract	consist of the i	netallation	of the pipeline(s) within	th
paving limits of a re		nstanation	or me piperme(s) within	111
	Jau :	٦	No	
1 65.		1	No:	

Was continuous bypass pumping of sanitary required by this project?

Yes: _____ No: _____

If Yes, describe your bypass pumping operation (size, length of pipe, etc.) Sound attenuation may be required in this project. Please describe methods used previously in this regard and how they may be adapted to this project. Contractor may include information in attachment.

Bypass Pumping: _	 		
Comments:			

#4

CONTRACTOR'S QUALIFICATION WILL BE DETERMINED BASED UPON THE INFORMATION PRESENTED IN THIS SECTION. ADDITIONAL INFORMATION PROVIDED AS "ATTACHMENTS" SHOULD BE FOR FURTHER EXPLANATION OF PROJECTS LISTED WITHIN THIS SECTION OR AS SUPPLEMENTAL INFORMATION.

Project Name:		
Location:		
Project Owner:		
Owner's Address:		
Owner's Contact P	erson:	
Owner's Contact Po	erson Telephon	e:
Project Engineer:		
Engineer's Address	5:	
Engineer's Contact	Person:	
Engineer's Contact	Person Telepho	one:
Contract Bid Amou	int:	
Final Contract Amo	ount:	
Contract Completic	on Time: Estal	blished Days:
_		al Days:
Contract Dates:	Noti	ce to Proceed Date:
	Fina	l Completion Date:
Gravity Sanitary Se	wer System:	Yes: No:
Length:	Material:	Diameter:
Length:	Material:	Diameter:
Manhole Type:	Material:	Diameter:
Combined Sanitary	Sewer Overflo	w System: Yes No
Length:	Material:	Diameter:
Length:	 Material:	Diameter:
Manhole Type:	Material:	Diameter:
Did this Contract a	ongist of the :	notallation of the ringling(r) within
paving limits of a ro		nstallation of the pipeline(s) within
		Not
res:		No:

Was continuous bypass pumping of sanitary required by this project?

Yes: _____ No: _____

If Yes, describe your bypass pumping operation (size, length of pipe, etc.) Sound attenuation may be required in this project. Please describe methods used previously in this regard and how they may be adapted to this project. Contractor may include information in attachment.

Bypass Pumping:		
Comments:		
	 ·	

I. Identify all projects, including, but not limited to those above, which were the subject of an action claim or lawsuit by, or against, the Contractor or the Owner of the project. This shall include, but not be limited to, warnings, citations, stop work orders, consent orders, fines, etc. by any regulatory government agency or court on the local, state, and/or federal level as well as any other private or public organization or individual. Please identify in your response the nature of such action claim or lawsuit, the court in which the case was filed (if applicable) and the details of its resolution. Failure to reveal any such incident may be used to disqualify the Contractor from this and future projects.

J. Has the Contractor ever failed to complete any work awarded, including any negotiated settlement that removed the Contractor before the project was fully complete and/or resulting in a third party having to finish the project? Yes _____ No _____ If Yes, specify date, circumstances, and resolution in an attachment. K. Has any local, state or federal agency ever denied the Contractor within the last five (5) years on a project of similar scope? Yes _____ No If Yes, specify date, circumstances, and resolution in an attachment. L. In the past ten (10) years, has the Contractor ever been released from a bid?

Yes _____ No _____

If Yes, specify date, circumstances, and resolution in an attachment.

M. Has any officer or partner of the Contractor ever been an officer or partner of some other organization that failed to complete a construction contract, including any negotiated settlement that removed the organization before the project was fully complete and/or resulting in a third party having to finish the project.

Yes _____ No _____

If Yes, specify name of individual, other organization, date, and details in an attachment.

N. Has any officer or partner of the Contractor ever failed to complete a construction contract handled in his own name including any negotiated settlement that removed them before the project was fully complete and/or resulting in a third party having to finish the project?

Yes _____ No

If Yes, specify name of individual, other organization, date, and details in an attachment.

O. List all previous projects that the Contractor has performed for Jackson Energy Authority.

VII. LEGAL PROCEEDINGS

A. ARBITRATIONS

List all construction arbitration demands filed by, or against, the Contractor in the last five (5) years, and identify the nature of the claim, the amount in dispute, the parties, and the ultimate resolution of the proceeding.

B. LAWSUITS

List all construction-related lawsuits (other than labor or personal injury litigation) filed by, or against, the Contractor in the last five (5) years, and identify the nature of the claim, the amount in dispute, the parties, and the ultimate resolution of the lawsuit.

C. OTHER PROCEEDINGS

1. Identify any lawsuits, administrative proceedings, or hearings initiated by the National Labor Relations Board or similar state agency in the past seven (7) years concerning any labor practices of the Contractor. Identify the nature of any proceedings and its ultimate resolution.

2. Identify any lawsuits, administrative proceedings, or hearings initiated by the Occupational Safety and Health Administration concerning the project safety practices of the Contractor in the last seven (7) years. Identify the nature of any proceeding and its ultimate resolution.

3. Identify any lawsuits, administrative proceedings, or hearing initiated by the Internal Revenue Service, or any state revenue department, concerning the tax liability of the Contractor (other than audits) in the last seven (7) years. Identify the nature of any proceeding and its ultimate resolution.

4. Have any criminal proceedings or investigations been brought against the Contractor in the last ten (10) years?

Yes	
No	

If Yes, attach a complete detailed report with your response to this Application.

VIII. REFERENCES

All references must be current entities with which the Contractor has done business in the past twelve (12) months.

A. BANKS

#1	Bank Name: Address: City:	
	State and Zip:	
	Contact Person:	
	Telephone and Fax:	
#2	Bank Name:	
	Address:	
	City:	
	State and Zip:	
	Contact Person:	
	Telephone and Fax:	

B. MAJOR SUBCONTRACTORS

#1	Subcontractor Name: Address: City:	
	State and Zip:	
	Contact Person:	
	Telephone and Fax:	
#2	Subcontractor Name:	
	Address:	
	City:	
	State and Zip:	
	Contact Person:	
	Telephone and Fax:	

C. SUPPLIERS

D.

#1	Major Supplier Name: Address: City: State and Zip: Contact Person: Telephone and Fax:			
#2	Major Supplier Name: Address: City: State and Zip: Contact Person: Telephone and Fax:			
OWNERS				
#1	Project Name:			
#2	Project Name:Location:Contract Price:Owner:Address:City:State and Zip:Contact Person:Engineer::Address:City:			

State and Zip:

	Telephone and Fax:	
	Contact Person:	
#3	Project Name:	
	Location:	
	Contract Price:	
	Owner:	
	Address:	
	City:	
	State and Zip:	
	Contact Person:	
	Engineer: :	
	Address:	
	City:	
	State and Zip:	
	Telephone and Fax:	
	Contact Person:	

IX. COMMENTS

Please list any additional information that the Contractor believes would assist the Owner in evaluating the possibility of using the Contractor on this Project.

List or attach any other information which the Contractor believes is pertinent to this Application but which was not requested above, such as letters of recommendation from the Project Owner or Project Engineer from the above listed projects, etc.

X. IMPORTANT REQUIRED INFORMATION To be attached to completed application:

- A. Attach a biographical/experience summary for each of the Contractor's principals, proposed Project Manager, proposed Project Superintendent.
- B. Attach a copy of current "State of Tennessee Utility Contractors License". The Contractor must have a valid State of Tennessee Utility Contractors License in order to submit a bid.
- C. Attach a list of construction projects the Contractor currently has under contract and the anticipated completion date. Give a brief description of the projects including contract amount. Provide project name, date, owners name, address, telephone number, and contact person.
- D. Attach a list of OSHA citations levied during the past five (5) years. Describe the infractions, dates, and whether there was a warning or fine imposed and the dollar amount of each.
- E. Attach a copy of the Contractor's OSHA 200 Log for each of the past three years